

LIST OF PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

# 3

Applicant(s): Philip D. MacKenzie  
 Case: 9  
 Serial No.: 09/827,227  
 Filing Date: April 5, 2001  
 Group: TBA

## U.S. PATENT DOCUMENTS

EXAMINER					FILING DATE
INITIAL	DOCUMENT NO.	DATE	NAME	CLASS/SUBCLASS	IF APPROPRIATE
<u>MV</u>	5,440,635	08/08/95	Bellovin et al.		
	5,241,599	08/31/93	Bellovin et al.		
	09/638,320	08/14/00	V.V. Boyko et al., "Secure Mutual Network Authentication and Key Exchange Protocol."		
	09/353,468	07/13/99	P.D. MacKenzie et al., "Secure Mutual Network Authentication Protocol (SNAPI)."		

## FOREIGN PATENT DOCUMENTS

EXAMINER					TRANSLATION
INITIAL	DOCUMENT NO.	DATE	COUNTRY	CLASS/SUBCLASS	YES NO

## OTHER DOCUMENTS

EXAMINER				
INITIAL	REF NO.	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.		
<u>MV</u>	1.	D. Jablon, "Strong Password-Only Authenticated Key Exchange," ACM Computer Communications Review, ACM SIGCOMM, pp. 1-22, 1996.		
	2.	S.M. Bellovin et al., "Encrypted Key Exchange: Password-Based Protocols Secure Against Dictionary Attacks," Proceedings of the IEEE Symposium on Research in Security and Privacy, pp. 72-84, 1992.		
	3.	S.M. Bellovin et al., "Augmented Encrypted Key Exchange: A Password-Based Protocol Secure Against Dictionary Attacks and Password File Compromise," Proceedings of the First Annual Conference on Computer and Communications Security, pages 1-7, 1993.		
	4.	M. Steiner et al., "Refinement and Extension of Encrypted Key Exchange," ACM Operating System Review, pp. 1-9, 1994.		
	5.	T. Wu, "The Secure Remote Password Protocol," Proceedings of the 1998 Internet Society Symposium on Network and Distributed System Security, pages 1-17, 1997.		
	6.	Stefan Lucks, "Open Key Exchange: How to Defeat Dictionary Attacks Without Encrypting Public Keys," Security Protocol Workshop, pp. 1-12, 1997.		
	7.	M. Bellare et al., "Authenticated Key Exchange Secure Against Dictionary Attacks," Advances in Cryptology, pp. 1-16, Eurocrypt 2000.		

Examiner

Date Considered

4-7-01

**Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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## OTHER DOCUMENTS-(Cont'd)

## EXAMINER

INITIAL REF NO. AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.

- mv 8. S. Patel, "Number Theoretic Attacks on Secure Password Schemes," Proceedings of the IEEE Symposium on Research in Security and Privacy, pages 236-247, 1997.
9. W. Diffie et al., "New Directions in Cryptography," IEEE Transactions on Information Theory, Vol. IT 22, No. 6, pp. 644-654, 1976.
10. FIPS 180-1, "Secure Hash Standard," Federal Information Processing Standards Publication 180-1, pp. 1-21, 1995.
11. H. Dobbertin et al., RIPEMD-160: a Strengthened Version of RIPEMD, Fast Software Encryption, 3rd Intl. Workshop, pp. 1-13, 1996.
12. R.L. Rivest et al., "A Method for Obtaining Digital Signatures and Public-Key Cryptosystems," Communications of the ACM, Vol. 21, No. 2, pp. 120-126, 1978.
- mv 13. D.P. Jablon, "Extended Password Key Exchange Protocols Immune to Dictionary Attack," WETICE Workshop on Enterprise Security, pp. 1-8, 1997.

Examiner

*Michael Vayt*

Date Considered

4-7-04

**Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

## FORM PTO-1449 (MODIFIED)

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DISCLOSURE STATEMENT

Applicant(s): Philip D. MacKenzie  
Case: 9  
Serial No.: 09/827,227  
Filing Date: April 5, 2001  
Group: 2131

## U.S. PATENT DOCUMENTS

EXAMINER					FILING DATE
INITIAL	DOCUMENT NO.	DATE	NAME	CLASS/SUBCLASS	IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

EXAMINER					TRANSLATION
INITIAL	DOCUMENT NO.	DATE	COUNTRY	CLASS/SUBCLASS	YES NO
<u>MV</u>	EP 1 134 929 A1	09/19/01	Europe		

## OTHER DOCUMENTS

EXAMINER			
INITIAL	REF NO.	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.	

MV V. Boyko et al., "Provably Secure Password-Authenticated Key Exchange Using Diffie-Hellman,"  
Advances in Cryptology, Eurocrypt 2000, pp. 156-171, 2000.

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Technology Center 2100

Examiner

*Michael Vaughn*

Date Considered

4-7-04

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